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Patent  
Attorney Docket: 257/049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**TUROVSKIY et al.**

**Serial No.: 09/803,745**

Filed: March 8, 2001

For: CEREBRAL PROTECTION DURING  
CAROTID ENDARTERECTOMY AND  
METHODS OF USE

Group Art Unit: Not yet assigned

#6B  
ARIVERS  
311/02

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Before examination, please amend the above application as follows:

IN THE CLAIMS

Please add the following new claims.

02/20/2002 BNGUYEN1 00000033 09803745

01 FC:103  
02 FC:102

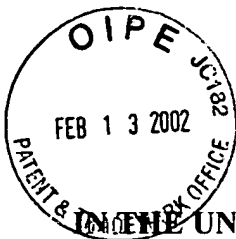
234.00 OP  
168.00 OP

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 29, 2002  
Date of Deposit

*Cynthia B. Pacheco*  
Cynthia B. Pacheco



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\$ 3765

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**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

With regard to the above-identified application, the following documents are enclosed:

1. Second Preliminary Amendment;
2. Fees for claims:

The fees for claims (37 CFR § 1.16(b)-(d)) have been calculated as shown below:

Claims	No. Previously Paid For	Claims Remaining	No. to be Paid For	Large Entity Fee	Additional Fee Due
Total	28	- 41 =	13 x	\$18.00	\$234.00
Independent	5	- 7 =	2 x	\$84.00	\$168.00
Multiple Dependent	0	(if applicable) <input type="checkbox"/>		\$260.00	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>					<b>\$402.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					\$0.00
<b>TOTAL FEES FOR CLAIMS SUBMITTED HERewith</b>					<b>\$402.00</b>

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3. The fee for the additional claims fee is \$402.00. Our check (no. 3706) is enclosed in payment; and

4. Receipt verification postcard.

Applicant is other than a small entity. If an extension of time is required, please consider this a petition therefor. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: January 29, 2002

By: John Kappos  
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Attorneys for Applicants

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